BEFORE THE ARIZONA MEDICAL BOARD

In the Matter of

MARY E. GROVES, M.D.

Holder of License No.
For the Practice of Allopathic Medicine
In the State of Arizona.

Case No. MD-05-1205A

CONSENT AGREEMENT FOR DECREE OF CENSURE

CONSENT AGREEMENT

By mutual agreement and understanding, between the Arizona Medical Board ("Board") and Mary E. Groves, M.D. ("Respondent"), the parties agreed to the following disposition of this matter.

- 1. Respondent has read and understands this Consent Agreement and the stipulated Findings of Fact, Conclusions of Law and Order ("Consent Agreement"). Respondent acknowledges that she has the right to consult with legal counsel regarding this matter.
- 2. By entering into this Consent Agreement, Respondent voluntarily relinquishes any rights to a hearing or judicial review in state or federal court on the matters alleged, or to challenge this Consent Agreement in its entirety as issued by the Board, and waives any other cause of action related thereto or arising from said Consent Agreement.
- 3. This Consent Agreement is not effective until approved by the Board and signed by its Executive Director.
- 4. All admissions made by Respondent are solely for final disposition of this matter and any subsequent related administrative proceedings or civil litigation involving the Board and Respondent. Therefore, said admissions by Respondent are not intended or made for any other use, such as in the context of another state or federal government

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regulatory agency proceeding, civil or criminal court proceeding, in the State of Arizona or any other state or federal court.

- Upon signing this agreement, and returning this document (or a copy thereof) 5. to the Board's Executive Director, Respondent may not revoke the acceptance of the Consent Agreement. Respondent may not make any modifications to the document. Any modifications to this original document are ineffective and void unless mutually approved by the parties.
- This Consent Agreement, once approved and signed, is a public record that 6. will be publicly disseminated as a formal action of the Board and will be reported to the National Practitioner Data Bank and to the Arizona Medical Board's website.
- If any part of the Consent Agreement is later declared void or otherwise unenforceable, the remainder of the Consent Agreement in its entirety shall remain in force and effect.

Groves, M.D.

DATED: 5-11-06

FINDINGS OF FACT

- 1. The Board is the duly constituted authority for the regulation and control of the practice of allopathic medicine in the State of Arizona.
- 2. Respondent is the holder of license number 30315 for the practice of allopathic medicine in the State of Arizona.
- 3. The Board initiated case number MD-05-1205A after receiving multiple complaints from various patients and one of Respondent's former employees alleging patient abandonment, failure to provide patient medical records in a timely manner, and failure to appropriately close a medical practice.

PATIENT J.H.

- 4. On September 19, 2005 an associate ("Associate") within Respondent's practice, Phoenix Rising Family Medicine, saw J.H. and collected samples for laboratory tests. J.H. had a follow-up appointment scheduled to retrieve her results, but when she contacted the office for information, she was told via voicemail that the clinic was permanently closed. J.H. made an appointment with another physician for follow up care, but was not given any information from Respondent's office about how to retrieve or transfer her medical records to her new physician.
- 5. On October 11, 2005 J.H. received a letter from Respondent instructing her to submit a written request for records to a post office box. J.H. submitted a written request to Respondent asking that her medical records be transferred to her new physician, but Respondent did not respond to J.H.'s request. According to J.H., her new physician could not treat her diagnosed disease because he had no medical records to confirm her diagnosis.
- 6. J.H. contacted her new physician prior to a follow-up appointment scheduled for October 17, 2005 and was told Respondent had forwarded J.H.'s records.

PATIENT K.D.

- 7. Respondent diagnosed K.D. with seizure disorder and managed her medically with Valium. Respondent required K.D. to present for an examination before she would authorize any refills. On October 14, 2005 K.D. contacted the pharmacy to refill her anti-seizure medication and was denied. For two days K.D. tried unsuccessfully to contact Respondent by phone.
- 8. The pharmacy gave K.D. Associate's cell phone number. Associate explained Respondent's office was closed and he could not get in to write K.D.'s prescription for her anti-seizure medications. Respondent gave K.D. no notice of the office closure. K.D's anti-seizure medications were due for refill and she was afraid she would have a seizure episode. Associate advised K.D. to seek emergency medical care if she had a seizure and to establish a new primary care physician as soon as possible.

PATIENT R.W.

- 9. On October 17, 2005 R.W. went to Respondent's office to retrieve laboratory results, but the office was closed. A notice posted on the door informed him where to send written requests for medical records.
- 10. On October 20, 2005 and October 26, 2005 R.W. received letters from Respondent with instructions on how to retrieve his medical records. R.W. wrote two letters to the address as instructed requesting his medical records and received no response.

PATIENT G.K.

11. G.K. was a patient of Respondent who needed gallbladder surgery and required a referral from Respondent, his primary care physician. On September 29, 2005 G.K. went to Respondent's office for an appointment and was informed by Respondent's

of their records.

12. On December 12, 2005 J.S. informed the Board that Respondent had closed her doors and posted a notice stating she was on a medical leave of absence. Also, within the notice there was an address where patients could submit a written request for copies

and was forced to seek medical help elsewhere.

13. J.S. sent a letter to the address provided by Respondent for copies of his medical records. On December 2, 2005 J.S.'s letter to Respondent was returned as unclaimed with no forwarding information available.

staff that the office was permanently closed. G.K. did not get a referral from Respondent

PATIENT J.S.

- 14. On December 21, 2005 J.S. was contacted and told his medical records could be picked up on January 7, 2006 at Respondent's office. J.S. went to the office and picked up his original records and those of his wife and son. There is no evidence that Respondent maintained a copy of the original medical records.
- 15. Respondent leased office space from another physician ("Lessee"). On October 17, 2005 Lessee attempted to collect back rent by locking the doors of the clinic. On October 26, 2005 Respondent wrote a letter to Lessee stating she was relinquishing her responsibility of the records to him. On November 2, 2005 Lessee informed Respondent he would not accept responsibility for her patient medical records and Respondent could pick up the medical records.
- 16. On November 18, 2005 Board Staff contacted Respondent regarding the patient medical records and informed her that it was her responsibility, as the treating physician, to distribute them upon request.
- 17. On December 12, 2005 Lessee appeared on television news explaining Respondent's had abandoned her records and patients could retrieve their records by

coming to his office. Lessee had his property manager ("Property Manager") distribute original medical records to patients who requested them. Property Manager was not an employee of Phoenix Rising Family Medicine.

- 18. On January 9, 2006 Property Manager informed Board Staff that Respondent's attorney and her husband retrieved all the medical records from the office. Property Manager also gave Board Staff a contact number Respondent's patients to call for their records. Property Manager also faxed to Board Staff a list of thirty-two of Respondent's patients who had already picked up their records from the office. Lessee also provided Board Staff with the names of three patients who experienced difficulty locating their medical records.
- 19. On written request of a patient for access to or copies of the patient's medical records, the health care provider in possession of the record shall provide the medical records to the patient or the person designated in writing by the patient. A.R.S. § 12-2293(A).
- 20. When a health care provider retires or sells the provider's practice, the provider shall take reasonable measures to ensure that the provider's records are retained. A.R.S. § 12-2297(B).
- 21. A health care provider shall retain original or copies of a patient's medical records for at least six years after the last date the adult patient received medical or health care services from that provider or if the patient is a child, either for at least three years after the child's eighteenth birthday or for at least six years after the last date the child received medical or health care services from that provider, whichever date occurs later. A.R.S. § 12-2297(A).

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CONCLUSIONS OF LAW

- 1. The Board possesses jurisdiction over the subject matter hereof and over Respondent.
- 2. The conduct and circumstances described above constitute unprofessional conduct pursuant to A.R.S. § 32-1401 (27)(a) ("[v]iolating any federal or state laws or rules and regulations applicable to the practice of medicine"); specifically, A.R.S. § 12-2293(A), A.R.S. § 12-2297(A), and A.R.S. § 12-2297(B).
- 3. The conduct and circumstances described above constitute unprofessional conduct pursuant to A.R.S. § 32-1401 (27)(rr) ("[f]ailing to make patient medical records in the physician's possession promptly available to a physician assistant, a nurse practitioner, a person licensed pursuant to this chapter or a podiatrist, chiropractor, naturopathic physician, osteopathic physician or homeopathic physician licensed under chapter 7, 8, 14, 17 or 29 of this title on receipt of proper authorization to do so from the patient, a minor patient's parent, the patient's legal guardian or the patient's authorized representative").

ORDER

IT IS HEREBY ORDERED THAT:

Respondent is issued a Decree of Censure for failure to appropriately close her practice and distribute medical records to patients, failure to make reasonable accommodation for medical records, and failure to retain records for the time required by law.

This Order is the final disposition of case number MD-05-1205A.

DATED AND EFFECTIVE this 9th day of June, 200

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4		TIMOTHY C.MILLER, J.D. Executive Director
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6	ORIGINAL of	the foregoing filed this, 2006 with:
7	Arizona Medic	al Board
8	9545 E. Doubl	etree Ranch Road
9	Scottsdale, AZ	<u>2</u> 85258
10	this 4m day	of, 2006 to:
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12	Mary E. Grove Address of Re	
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